I have been documenting my employee’s performance issues for a couple of months. I have kept the notes private because I may need them to prove my case that the employee is not suitable for the position, and if I share the documentation, it will make this harder. Is this okay?

Documentation is first and foremost a communication tool to establish a record of events that have transpired, the employee responses to confrontations, and corrective measures you’ve instituted to help your employee meet certain standards. At this stage, helping your employee change or improve is what’s key. If you construct documentation with no intent to share it with your employee, you risk the appearance of treating it as a diary or personal log where you share emotions or other inappropriate formulations of your observations and private thoughts. These can undermine whatever purpose you plan for the documentation later, as your documentation then becomes an obviously one-sided presentation that does not reflect the employee’s acknowledgement of your concerns, his or her reaction to them, or plans and opportunities to make the changes you desire.

I referred two employees to the EAP because they argue frequently and disrupt the work unit. Things are smooth sailing now, but if problems return, should I refer them to the EAP again, discipline them, or call it quits? How many times should I refer misbehaving employees? When is enough, enough?

Decide what to do about the recurring conflict in conjunction with your advisors. There is no pat answer to how many times you should attempt an EAP referral, unless an arrangement you’ve made precludes it. For example, you made a “last chance” or “firm choice” agreement with your employee. As a manager you must make judgment calls based upon the experience and wisdom you’ve acquired in your position. Consider the pattern of improvement that your employees make, whether you believe the goal is being met, and whether the negative effects of the behavior are continuing to affect the work unit. Workplace conflict between employees is common, but most people respond well to management intervention. Frequent follow-up is typically the missing piece to successful outcomes. Your insistence on change is important. Meeting with your employees frequently after an EAP referral, even for just several minutes at a time over a protracted period, will help establish and reinforce new patterns of the behavior you seek.

Why would some supervisors ignore their responsibilities under a drug-free workplace policy, even after ample

There is an old saying in alcohol and drug education: “A man convinced against his will is of the same opinion still.” This is an argument for doing more than just signs and symptoms education about substance abuse. Particularly important is examining false beliefs, misconceptions, long-held explanations for past events and personal traumas associated with
training in signs and symptoms of substance abuse? It appears that some people just won’t let go of enabling behaviors, especially when it comes to ignoring alcohol on the breath. What explains such continued willingness to enable?

My employee’s position will soon be cut because of the budget. The employee is a recovering drug user of less than a month. This is the worst time, I know. If relapse occurs, whose fault is it? Things are going so well with this employee now.

My employee has been absent six days, and our messages to the employee’s home have not been returned. Coworkers have seen the employee in the community. We might dismiss the employee, but should we have the EAP phone first? We don’t think the employee is an EAP client.

Almost entirely, relapses are decisions to discontinue abstaining from substance use. They almost always include a failure to participate in recommended tasks or activities that can thwart relapse—or conversely, a failure to avoid activities and tasks that provoke it. No matter the stressful circumstances faced by your employee, even if terminated from a job suddenly, relapse or successful avoidance of it is his or her responsibility. Many tools, tips, and strategies exist to help recovering persons under stress to avoid relapse. If your employee attended an employee assistance program resulting from your referral as the supervisor, it is appropriate to speak to the EAP about your concern. You will then need to let go of this worry, because the potential relapse simply is not within your ability to prevent.

Although the employee assistance profession does not clearly prohibit this sort of outreach, making contact in this way does establish a potentially problematic precedent if the employee is not an EAP client. You must consider whether it may taint the EAP’s ability to attract referrals if the workforce were to learn that the EAP could phone them unsolicited. This increases risk to your organization. The desire to look after your employee in this way should not overshadow the importance of that employee taking responsibility for the consequences of failing to come to work. EA professionals follow a code of ethics, but they also rely upon the established principles of helping professions, many of which preclude unsolicited, personal inquiries of this nature.